JC04 Rec'd PCT/PTO 01 JUL 2005

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 701039-050<u>025</u>

U.S. ARPLICATION NO. (If known see 37 CFR 1.5)

		<u> </u>				
INTERNATIONAL APPLICATION NO. PCT/US2004/000447						
TITLE OF INVENTION METHODS FOR DIAGNOSIS AND PROGNOSIS OF CANCER						
APPLICANT(S) FOR DO/EO/US CHILDREN'S MEDICAL CENTER CORPORATION, et al.						
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:						
1. X This is a FIRST submission of items co	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.					
2. This is a SECOND or SUBSEQUENT s	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.					
3. X This is an express request to begin nation (5), (6), (9) and (21) indicated below.						
4. \overline{X} The US has been elected (Article 31).	The US has been elected (Article 31).					
5. X A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))					
a. is attached hereto (required	a. is attached hereto (required only if not communicated by the International Bureau).					
b. has been communicated by	b. has been communicated by the International Bureau.					
c. X is not required, as the appli	c. X is not required, as the application was filed in the United States Receiving Office (RO/US).					
6. An English language translation of the	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).					
a. is attached hereto.	a. is attached hereto.					
b. has been previously submi	b. has been previously submitted under 35 U.S.C. 154(d)(4).					
7. Amendments to the claims of the Inte	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))					
a. are attached hereto (requi	a. are attached hereto (required only if not communicated by the International Bureau).					
b. have been communicated	b. have been communicated by the International Bureau.					
c. have not been made; how	c. have not been made; however, the time limit for making such amendments has NOT expired.					
d. have not been made and v	d. have not been made and will not be made.					
8. An English language translation of the	English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
9. An oath or declaration of the inventor	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
Items 11 to 20 below concern document(s	ems 11 to 20 below concern document(s) or information included:					
11. An Information Disclosure Statement	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.					
	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13. A preliminary amendment.	A preliminary amendment.					
14. X An Application Data Sheet under 37 (An Application Data Sheet under 37 CFR 1.76.					
15. A substitute specification.	A substitute specification.					
	A power of attorney and/or change of address letter.					
17. X A computer-readable form of the sequence	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.					
18. A second copy of the published Interr	A second copy of the published International Application under 35 U.S.C. 154(d)(4).					
r=== .	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).					
20. X Other items or information: See Attachment 1						

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	NO. (if known, see 37 CFR 1.5	' I		ATTORNEY'S DOC		
10 /5 37 455 PCT/US2004/000447 The following fees have been submitted		701039-0	PTO USE ONLY			
·	tional fee		\$300	\$ 300.00	T	
22. X Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)			\$ 200.00			
23. X Search for Search fee (37 CFR 1 International		the international application to	o the USPTO as an \$100 \$400	100.00)	
TOTAL OF 21, 22 and 23 =			\$ 600.00)		
sequence listing	specification and drawings file or computer program listing fil or each additional 50 sheets o	ed in an electronic medium).	excluding			
Total Sheets Ex		h additional 50 or fraction up to a whole number)_	RATE			
49 - 100 =	-51 _{/50} =		x \$250	\$ 0.00		
Surcharge of \$130.00 claimed priority date (for furnishing the oath or decl 37 CFR 1.492(h)).	aration later than 30 months	from the earliest	\$ 130.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$		
Total claims	24 - 20 =	4	x \$ 50	\$ 200.00		
Independent claims	4 -3=	1	× \$200	\$ 200.00		
MULTIPLE DEPENDE	ENT CLAIM(S) (if applicable)	•	+ \$360	\$ 0.00		
			E CALCULATIONS =	\$ 1,130.00		
Applicant claims	small entity status. See 37 CF	R 1.27. Fees above are redu		565.00		
Processing for of \$42	0 00 for fiveriables the Facility	A	SUBTOTAL =	\$ 565.00		
claimed priority date (0.00 for furnishing the English 37 CFR 1.492(i)).	translation later than 30 mor	iths from the earliest +	\$	0.00	
TOTAL NATIONAL FEE =			\$ 565.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 0.00		
		TOTAL	FEES ENCLOSED =	\$	565.00	
	· · · · · · · · · · · · · · · · · · ·		••••	Amount to be refunded:	\$	
				Amount to be charged:	\$	
a. A check in the amount of \$ to cover the above fees is enclosed.						
b. X Please char A duplicate	ge my Deposit Account No copy of this sheet is enclosed.	50-0850 in the amount of	\$ <u>565.00</u> to co	over the above fees.		
c. X The Commis	sioner is hereby authorized to A duplicate co	charge any additional fees w	hich may be required,	or credit any overpaymen	t to Deposit	
d. Fees are to I	oe charged to a credit card. Wo	ARNING: Information on this	form may become pub	olic. Credit card informat	ion should not	
NOTE: Where an app	propriate time limit under 37	CFR 1.495 has not been me		(37 CFR 1.137(a) or (b))	must be filed	
	re the International Applicat	on to pending status.	n			
David S. Resnick						
NIXON PEABODY LLP						
100 Summer Street David S. Resnick						
Boston, MA 02110-2131						
US 34,235 REGISTRATION NUMBER						

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Practitioner's Docket No. 701039-050025-US

PATENT

IN THE UNITED STATES RECEIVING OFFICE

International Application Number	International Filing Date	International Earliest Priority Date	
PCT/US2004/000447	09 January 2004	09 January 2003	
	09.01.2004	09.01.2003	

TITLE OF INVENTION:

METHODS FOR DIAGNOSIS AND PROGNOSIS OF CANCER

APPLICANTS FOR DO/US:

CHILDREN'S MEDICAL CENTER CORPORATION

INVENTORS FOR DO/US:

ZETTER, Bruce; HUTCHINSON, Lloyd; BAO, Lere

U.S. SERIAL NO.:

TO BE ASSIGNED

VERIFIED CERTIFICATION OF EXPRESS MAILING DATE (INTERNATIONAL APPLICATION (37 C.F.R. section 1.10(c))

I declare that, on July 1, 2005 I deposited, with the United States Postal Service, in an envelope "Express Mail, Post Office to Addressee," bearing Label Number EV 653000627 US, addressed to the U.S. Receiving Office "MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450," and having an express mail certification that I executed, the following papers:

- Transmittal Letter to the U.S. Receiving Office PTO 1390 in duplicate (6 pp.); . 1.
- 2. Application Data Sheet (7 pp.);
- Preliminary Amendment (6 pp.); 3.
- Copy Published PCT Cover Sheet WO 2004/063712 A3 (1 pg.); 4.
- 5. Copy – Form PCT/IB/308 (Second Notice) (1 pg.);
- Copy Form PCT/ISA/210 & 220 (7 pp.); 6.
- Copy Form PCT/ISA/237 (5 pp.); 7.
- 8. Sequence Listing Statement (3 pp.);
- 9. Sequence Listing Disk – 1;
- 10. Sequence Listing – Paper Copy (2 pp.);
- 11. Return Receipt Postcard.

A copy of these papers from the file of this application is attached.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Date: _July 1, 2005

(type or print name of person certifying)